Page 1 of 1



### UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATERTS

UNITED STATES PATENT AND TRADEMARK OFFICE

RESCE TO CHICAGAM

APPLICATION SUMBER FILINOPRECEPT DATE FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/989,796

Edward J Lynch

Coudert Brothers LLP 600 Beach Street, 3rd Floor San Francisco, CA 94109 11/20/2001

Michael Y. Zhang

9600 6831

**CONFIRMATION NO. 1816** 

FORMALITIES LETTER

OC000000007144239

Date Mailed: 11/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

RECEIVED

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

PY OF PAPERS
PRINALLY FILED

ON 10 7 10 1

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE







PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of M. Zhang et al.

Examiner: Not yet assigned

For: BALLOON FORMING DEVICE

Group Art Unit: 1732

Serial No.: 09/989,796

Filed: November 20, 2001

Docket No.: 9600.6831

TRANSMITTAL FOR SUBMISSION
OF MISSING PARTS OF
APPLICATION

CERTIFICATE OF MAILING PURSUANT TO 37 CFR 1.8

I heraby certify that this paper is being deposited in the United States mail as first class mail with postage prepaid, and is addressed to: The Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231, Box Missing Parts in San Francisco, CA on December 12, 2001. By:

The Assistant Commissioner for Patents U.S. Patent and Trademark Office Washington, D.C. 20231
Attention: Box Missing Parts

COPY OF PAPERS ORIGINALLY FILED

Dear Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted mailed November 30, 2001, transmitted herewith are as follows:

- Two Declarations and Powers of Attorney (1. Michael Y. Zhang and Kerry J. Williams and 2. Johann J. Skinner and Chicheng Wang)
- 2. Copy of the Notice to File Missing Parts of Nonprovisional Application Filing Date Granted (Part 2)
- 3. Check for \$130.00
- Return receipt postcard.

The Commissioner is hereby authorized to charge any additional fees, or credit any overpayment associated with this communication, required under 37 C.F.R. § 1.16



or § 1.17 to Deposit Account No. 13-0201, refer incing Dock it No. 9600.6831. This paper is being submitted in duplicate.

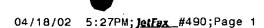
Respectfully submitted,

Edward J. Lynch // / Registration No. 24,422

Attorney for Applicants

Customer No. 23,422
Coudert Brothers LLP
600 Beach Street, 3<sup>RD</sup> Floor
San Francisco, CA 94109
Direct Dial: (415) 351-5708
Facsimile: (415) 409-7400

310 824 9696;



#### FACSIMILE TRANSMITTAL LETTER

DATE:

April 18, 2002

TO:

Request for Substitute Papers

FROM:

Gunther O. Hanke, .Esq.

RE:

Application for U.S. Letters Patent

Serial No. 09/989/796

Entitled: BALLOON FORMING PROCESS

Filed: November 20, 2001 Inventors: Michael Zhang, et al.

Our Docket No. ACSC 59931 (1987)

TOTAL NUMBER OF PAGES INCLUDING THIS PAGE 15

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY. TO WHICH I'LIS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED. CONFIDENTIAL, OR OTHERWISE EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. HE YOU ARE NOT THE INTENDED RECIPIENT, OR THE EMPLOYIE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROBLEMED. HE YOU HAVE RECEIVED THAT AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU

MESSAGE: Please see copy of the following documents enclosed: Request for Substitute

Papers; papers filed on February 7, 2002 (certificate of mailing dated December 21,

2001).

Please contact Pia Stratford Murphy if there are any problems.

Telephone: (310) 824-5555

Fax: (310) 824-9696

FAXED TO: (703) 746-4060







## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER OF PATENTS AND TRADEMARKS Weshington, D.C. 20231

A & / 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 &				
A & / 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 &	Application Number	Filing Date	First Named Applicant	Atty. Docket No.
03/349,790   11/20/2001   Zhang, Michael   9800.6	09/989,796	11/20/2001	Zhang, Michael	9600.6831

Edward J. Lynch Coudert Brothers LLP 600 Beach Street, 3rd Floor San Francisco CA 94109

Title: Balloon forming process

RECEIVED APR I O ZUUZ MAR 2 9 2002 LUWIDER PAYTON LEE & UTECHT, LLP COUDERT BROTHERS LLI LOS ANGELES SAN FRANCISCO

Date Mailed:03/25/2002

# Request for Substitute Papers

The papers filed on 02/07/02 (certificate of mailing dated 12/12/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Registration No.: 32,939

\_ Date: 4/18/02





RECEIVED IN THE U.S. PATENT OFFICE ASSISTANT COMMISSIONER FOR PATENTS BOX MISSING PARTS

DOCKET NO/ATTY/ASST: 9600.6831/EJL/R. Der

SERIAL NO.: 09/989,796 FILED: November 20, 2001

DOCUMENTS: Transmittal for Submission of Missing Parts of Application; Two Declarations and Powers of Attorney; Copy of the Notice to File Missing Parts of Nonprovisional Application – Filing Date Granted - Part 2; check in the total amount of \$130.00; and return receipt postcard.

CERTIFICATE OF MAILING PURSUANT TO 37 CFR §1.8 Melled in Sen Francisco, CA on December 12, 2001



COUDERT BROTHERS LLP 600 Beach St., 3rd Floor San Francisco, CA 94109